



**CITY OF CARSON INVESTMENT
COMPLIANCE REPORT
APRIL 30, 2018**

Description	CUSIP/Ticker	Settlement Date	YTM @ Cost	Face Amount/Shares	Cost Value	Market Value	Maturity Date	% of Portfolio
Cash Reserves								
BNY Cash Reserve Cash	MM11159A	3/31/2015	0.250	164,787.38	164,787.38	164,787.38	N/A	0.45
Preferred Premier Cash	3633942	3/13/2018	1.500	4,300,943.05	4,300,943.05	4,300,943.05	N/A	11.64
Sub Total / Average			1.454	4,465,730.43	4,465,730.43	4,465,730.43		12.09
Certificate of Deposit - Negotiable 30%								
BANK OF BARADA 1.5 8/31/2018	06062RFS6	8/31/2017	1.500	250,000.00	250,000.00	249,128.52	8/31/2018	0.68
BARCLAYS BANK/DELAWARE 1.95 9/21/2020	06740KKU0	9/20/2017	1.950	250,000.00	250,000.00	245,740.48	9/21/2020	0.68
BMO Harris Bank 2.15 4/30/2019	05581WWY7	4/30/2018	2.150	245,000.00	245,000.00	245,000.00	4/30/2019	0.66
BMW BANK OF NORTH AMERICA 1.9 9/8/2020	05580AKF0	9/8/2017	1.900	250,000.00	250,000.00	245,574.18	9/8/2020	0.68
Capital One Bank US 1.45 6/29/2018	140420R93	12/15/2016	1.450	245,000.00	245,000.00	244,593.81	6/29/2018	0.66
CATHAY BANK 1.7 3/7/2019	149159LG8	9/7/2017	1.700	250,000.00	250,000.00	248,018.02	3/7/2019	0.68
DARIEN ROWAYTON BANK 1.5 9/7/2018	23723DBT5	9/8/2017	1.500	250,000.00	250,000.00	249,176.88	9/7/2018	0.68
Farmers & Merchants BK Blooming 1.35 7/13/2018	308680BF3	7/14/2017	1.350	245,000.00	245,000.00	244,401.05	7/13/2018	0.66
Goldman Sachs Bank 2.3 1/31/2020	38148PWZ6	1/31/2018	2.300	245,000.00	245,000.00	243,513.73	1/31/2020	0.66
ISABELLA BANK 2 12/22/2019	464209CN3	12/22/2017	2.000	250,000.00	250,000.00	247,279.45	12/22/2019	0.68
LANDMARK COMMUNITY BANK 1.85 3/27/2020	51507LBM5	9/29/2017	1.850	250,000.00	250,000.00	246,249.18	3/27/2020	0.68
MORGAN STANLEY PVT BANK 1.75 9/3/2019	61760ADR7	8/31/2017	1.750	250,000.00	250,000.00	246,779.62	9/3/2019	0.68
NEW YORK COMMUNITY BANK 1.4 5/30/2018	649447QV4	8/30/2017	1.400	250,000.00	250,000.00	249,769.95	5/30/2018	0.68
PINNACLE BANK TN 1.7 8/30/2019	72345SFR7	8/30/2017	1.700	250,000.00	250,000.00	246,644.45	8/30/2019	0.68
Sallie Mae Bank/Salt Lake 2.25 7/31/2020	795450J97	1/31/2018	2.250	245,000.00	245,000.00	243,296.02	7/31/2020	0.66
Tristate Capital Bank 2.3 1/31/2020	89677DEW2	1/31/2018	2.300	245,000.00	245,000.00	243,513.73	1/31/2020	0.66
Sub Total / Average			1.814	3,970,000.00	3,970,000.00	3,938,679.07		10.74

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Description	CUSIP/Ticker	Settlement Date	YTM @ Cost	Face Amount/Shares	Cost Value	Market Value	Maturity Date	% of Portfolio
<u>Collateralized Deposit Account</u>								
East West Bank Cash	MM5469	3/31/2015	0.500	628,916.03	628,916.03	628,916.03	N/A	1.70
Sub Total / Average			0.500	628,916.03	628,916.03	628,916.03		1.70
<u>Collateralized Time Deposits 50%</u>								
International City Bank 1 1 5/18/2018	0106500C	5/17/2016	1.100	249,000.00	249,000.00	249,000.00	5/18/2018	0.67
Preferred Bank 1 2 10/29/2018	306110E	10/29/2017	1.200	1,000,000.00	1,000,000.00	1,000,000.00	10/29/2018	2.71
Preferred Bank 1 2 5/29/2018	306119B	5/29/2017	1.200	1,000,000.00	1,000,000.00	1,000,000.00	5/29/2018	2.71
Preferred Bank 1 2 5/3/2018	306241A	5/3/2017	1.200	500,000.00	500,000.00	500,000.00	5/3/2018	1.35
Preferred Bank 1 3 1/5/2019	306350A	1/5/2018	1.300	1,000,000.00	1,000,000.00	1,000,000.00	1/5/2019	2.71
Preferred Bank 1 35 11/3/2019	306242A	11/3/2017	1.350	500,000.00	500,000.00	500,000.00	11/3/2019	1.35
Preferred Bank 2 05 4/30/2019	305769D	4/30/2018	2.050	1,000,000.00	1,000,000.00	1,000,000.00	4/30/2019	2.71
STATE BANK OF INDIA 1 45 6/12/2018	856285DK4	9/11/2017	1.450	250,000.00	250,000.00	249,670.28	6/12/2018	0.68
Sub Total / Average			1.393	5,499,000.00	5,499,000.00	5,498,670.28		14.88
<u>Federal Obligations 100%</u>								
FAMC 2.1 2/3/2020	3132XOG21	2/2/2018	2.185	1,000,000.00	998,339.43	992,090.00	2/3/2020	2.71
FFCB 1.92 4/29/2019	3133EJAU3	1/29/2018	1.920	1,000,000.00	1,000,000.00	995,790.00	4/29/2019	2.71
FFCB 1.95 2/15/2019	3133EJCW7	2/13/2018	1.950	500,000.00	500,000.00	498,905.00	2/15/2019	1.35
FFCB 2.5 4/27/2020	3133EJME6	4/27/2018	2.520	500,000.00	499,806.14	499,530.00	4/27/2020	1.35
FFCB 2.71 3/29/2021	3133EJHZ5	4/26/2018	2.781	269,008.74	268,462.00	268,395.40	3/29/2021	0.73
FHLB 1 10/26/2018	3130A7S71	2/8/2017	1.043	250,000.00	249,817.50	248,730.00	10/26/2018	0.68
FHLB 1.625 6/14/2019	313379EES	2/12/2018	2.044	500,000.00	497,251.99	495,840.00	6/14/2019	1.35
FHLB 1.75 1/22/2019	3130ADGE6-A	1/26/2018	1.830	1,000,000.00	999,218.67	996,940.00	1/22/2019	2.71
FHLB 2.25 1/29/2021	3130ADDT6	1/29/2018	2.250	1,000,000.00	1,000,000.00	988,010.00	1/29/2021	2.71
FHLB Step 3/30/2021	3130A7H24	4/22/2016	2.379	530,000.00	528,569.00	515,732.40	3/30/2021	1.43
FHLB Step 6/23/2021-16	3130A8CS0	6/23/2016	2.465	1,000,000.00	1,000,000.00	992,510.00	6/23/2021	2.71
FHLB Step 6/30/2021-18	3130A8CZ4	6/30/2016	2.111	1,000,000.00	999,000.00	976,330.00	6/30/2021	2.71
FHLB Step 3/14/2023	3134GSFD2	3/14/2018	3.000	500,000.00	500,000.00	496,315.00	3/14/2023	1.35
FHLB Step 3/1/2021-16	3134G8MB2	5/25/2016	2.303	1,000,000.00	1,000,000.00	992,150.00	3/1/2021	2.71
FHLB Step 7/27/2021-17	3134G9L54	8/3/2016	2.364	250,000.00	249,798.61	243,247.50	7/27/2021	0.68
FNMA 3 4/26/2023	3136G4RZ8	4/26/2018	3.000	500,000.00	500,000.00	498,320.00	4/26/2023	1.35
Sub Total / Average			2.239	10,799,008.74	10,790,263.34	10,698,835.30		29.23

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Description	CUSIP/Ticker	Settlement Date	YTM @ Cost	Face Amount/Shares	Cost Value	Market Value	Maturity Date	% of Portfolio
Local Agency Investment Fund \$65M								
LAIF LGIP	LGIP9143	6/30/2015	1.661	8,136,463.31	8,136,463.31	8,136,463.31	N/A	22.02
Sub Total / Average			1.661	8,136,463.31	8,136,463.31	8,136,463.31		22.02
Medium Term Notes 20%								
APPLEINC 1.8 11/13/2019	037833DH0	12/26/2017	1.928	500,000.00	498,825.00	493,940.00	11/13/2019	1.35
Berkshire Hathaway Inc 2.1 8/14/2019	084670BL1	2/28/2018	2.197	500,000.00	499,282.87	497,420.00	8/14/2019	1.35
Coca-Cola Company 1.375 5/30/2019	191216BV1	2/2/2018	2.066	500,000.00	495,497.44	493,965.00	5/30/2019	1.35
Credit Suisse AG Nassau Step 8/21/2020	22548QT39	2/22/2017	2.486	250,000.00	250,644.84	244,900.00	8/21/2020	0.68
Johnson & Johnson 2.45 12/5/2021	478160BN3	2/27/2018	2.546	500,000.00	498,292.19	491,805.00	12/5/2021	1.35
Microsoft Corp 1.85 2/12/2020	594918AY0	12/26/2017	1.998	500,000.00	498,475.00	493,310.00	2/12/2020	1.35
Sub Total / Average			2.178	2,750,000.00	2,741,017.34	2,715,340.00		7.44
State / Municipal Bonds 20%								
California St Dept Wtr Res PWR Supply Rev BDS 2016	13066YTY5	9/14/2016	1.713	100,000.00	100,000.00	97,081.00	5/1/2021	0.27
San Francisco Cal City & County Apts Commn	79766DEY1	4/20/2016	1.021	100,000.00	102,725.00	100,000.00	5/1/2018	0.27
State of California 2.5 10/1/2020	13063DDF2	1/31/2018	2.792	500,000.00	496,280.00	489,250.00	10/1/2020	1.35
Sub Total / Average			2.385	700,000.00	699,005.00	686,331.00		1.89

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