



Investment Portfolio
City of Carson, Carson Successor Agency, Carson Housing Authority
As of September 30, 2016

Description	CUSIP/Ticker	Security Sector	Face Amount/Shares	Cost Value	Market Value	YTM @ Cost	% of Portfolio	Maturity Date
City of Carson								
BB&T Corporation 1.6 8/15/2017	05531FAL7	Corporate	100,000.00	100,740.00	100,333.00	1.034	0.11	8/15/2017
BMO Harris Bank NA Chicago 0.8 2/3/2017	05581WCL7	Certificate Of Deposit	250,000.00	250,000.00	250,277.50	0.800	0.27	2/3/2017
BNY Cash Reserve Cash	MM1159A	Cash	413,989.26	413,989.26	413,989.26	0.200	0.45	N/A
California St Dept Wtr Res PMR Supply Rev BDS 2016	13066YTY5	Municipal	100,000.00	100,000.00	100,638.00	1.713	0.11	5/1/2021
Customer Bk Phoenixville 0.5 11/29/2016	23204HDS4	Certificate Of Deposit	200,000.00	200,000.00	199,992.96	0.500	0.22	11/29/2016
East West Bank MM	MM5469	Money Market	1,121,697.15	1,121,697.15	1,121,697.15	0.350	1.22	N/A
EastWest Bank 0.8 2/16/2017	13424320(C)	Certificate Of Deposit	500,000.00	500,000.00	500,000.00	0.800	0.54	2/16/2017
FFCB 4.9 10/30/2017	31331YCJ7	US Agency	105,000.00	111,561.92	109,665.15	0.704	0.11	10/30/2017
FHLB Step 3/30/2021	3130A7H24	US Agency	530,000.00	528,569.00	529,909.90	2.379	0.58	3/30/2021
FHLB Step 6/23/2021-16	3130A8CS0	US Agency	1,000,000.00	1,000,000.00	1,000,150.00	2.465	1.09	6/30/2021
FHLB Step 6/30/2021-18	3130A8CZ4	US Agency	1,000,000.00	999,000.00	1,002,030.00	2.111	1.09	6/30/2021
FHLMC Step 3/1/2021-16	3134G8MB2	US Agency	1,000,000.00	1,000,000.00	1,000,000.00	2.303	1.09	3/1/2021
FHLMC Step 5/23/2021-16	3134G9JP3	US Agency	2,000,000.00	2,000,000.00	1,997,680.00	2.330	2.17	5/23/2021
FHLMC Step 7/27/2021-17	3134G9L54	US Agency	250,000.00	249,798.61	248,967.50	2.364	0.27	7/27/2021
First American International Bank Brooklyn NY 0.7	31848UAD6	Certificate Of Deposit	250,000.00	250,000.00	250,160.00	0.700	0.27	2/10/2017
Halliburton Company 2 8/1/2018	406216BC4	Corporate	100,000.00	102,022.00	100,667.00	1.100	0.11	8/1/2018
International City Bank 1.1 5/18/2018	0106500C	Certificate Of Deposit	249,000.00	249,000.00	249,000.00	1.100	0.27	5/18/2018
LAIF LGIP	LGIP9143	Local Government Investment Pool	5,291,247.85	5,291,247.85	5,291,247.85	0.630	5.75	N/A
Preferred 1.15 1/29/2017	305717	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.150	1.09	1/29/2017
Preferred Bank 1.1 4/29/2017	306109D	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.100	1.09	4/29/2017
Preferred Bank 1.1 4/29/2017	306111D	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.100	1.09	4/29/2017
Preferred Bank 1.1 5/29/2017	306119C	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.100	1.09	5/29/2017
	306241	Certificate Of Deposit	500,000.00	500,000.00	500,000.00	1.100	0.54	5/3/2017



Preferred Bank 1.1 7/31/2017	306120B	Certificate Of Deposit	500,000.00	500,000.00	500,000.00	1.100	0.54	7/31/2017
Preferred Bank 1.15 1/28/2017	305226A	Certificate Of Deposit	500,000.00	500,000.00	500,000.00	1.150	0.54	1/28/2017
Preferred Bank 1.2 10/29/2017	306112D	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.200	1.09	10/29/2017
Preferred Bank 1.2 10/29/2017	306110D	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.200	1.09	10/29/2017
Preferred Bank 1.2 11/12/2017	306118	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.200	1.09	11/12/2017
Preferred Bank 1.2 11/3/2017	306242	Certificate Of Deposit	500,000.00	500,000.00	500,000.00	1.200	0.54	11/3/2017
Preferred Bank 1.3 4/30/2018	305769C	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.300	1.09	4/30/2018
Preferred Bank MM	MM0315	Money Market	7,262,279.77	7,262,279.77	7,262,279.77	0.500	7.89	N/A
RJ Cash Reserves Cash	CA0581	Cash	1,022.97	1,022.97	1,022.97	0.080	0.00	N/A
San Francisco Cal City & County	79766DEY1	Municipal	100,000.00	102,725.00	102,127.00	1.021	0.11	5/1/2018
University Calif Revs 1.554 5/15/2017	91412GSY2	Municipal	500,000.00	504,215.00	502,050.00	0.761	0.54	5/15/2017
TotalAverage			32,324,237.00	32,337,868.53	32,333,885.01	1.069	35.12	
Housing Authority - City of Carson								
Bank of Hope 0.8 8/31/2017	062683AA5	Certificate Of Deposit	200,000.00	200,000.00	200,088.00	0.800	0.22	8/31/2017
East West Bank 1 12/9/2016	178261291	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.000	1.09	12/9/2016
East West Bank MM	MM1580	Money Market	1,243,204.72	1,243,204.72	1,243,204.72	0.350	1.35	N/A
International City Bank 0.8 3/2/2017	0106490C	Certificate Of Deposit	249,000.00	249,000.00	249,000.00	0.800	0.27	3/2/2017
Investors Svc Bank 0.8 8/31/2017	46176PFJ9	Certificate Of Deposit	200,000.00	200,000.00	200,088.00	0.800	0.22	8/31/2017
Preferred Bank 1.1 5/20/2017	306252	Certificate Of Deposit	500,000.00	500,000.00	500,000.00	1.100	0.54	5/20/2017
Preferred Bank 1.2 11/20/2017	306253	Certificate Of Deposit	500,000.00	500,000.00	500,000.00	1.200	0.54	11/20/2017
Preferred Bank MM	MM0102	Money Market	2,607,111.76	2,607,111.76	2,607,111.76	0.500	2.83	N/A
RJ Cash Reserve Cash	59335505	Cash	137.95	137.95	137.95	0.080	0.00	N/A
TotalAverage			6,499,454.43	6,499,454.43	6,499,630.43	0.678	7.06	
Housing Authority - HSG 2010 Bond A								
BNY Cash Reserves Cash	MM6640B	Cash	1,629,462.61	1,629,462.61	1,629,462.61	0.200	1.77	N/A
California State GO 2.924 10/1/2016	13063BNS7	Municipal	375,000.00	391,875.00	375,000.00	1.962	0.41	10/1/2016
California State GO 5.75 3/1/2017	13063BFC0	Municipal	2,000,000.00	2,000,000.00	2,039,040.00	5.750	2.17	3/1/2017
FFCB 3.15 1/12/2018	31331J7G2	US Agency	100,000.00	104,035.98	102,949.00	0.786	0.11	1/12/2018
FHLB 0.6 3/31/2017	3130A7P25	US Agency	2,995,000.00	2,995,000.00	2,996,198.00	0.600	3.25	3/31/2017
FHLB 1 2/28/2018	313382B36	US Agency	150,000.00	150,092.68	150,397.50	0.966	0.16	2/28/2018
FHLB 2.25 9/8/2017	313370S22	US Agency	105,000.00	107,174.50	106,437.45	0.737	0.11	9/8/2017
FHLMC 0.85 10/27/2017	3134G8VW5	US Agency	250,000.00	250,425.00	250,000.00	0.737	0.27	10/27/2017



FHLMC 1 7/25/2017	3134G3ZH6	US Agency	240,000.00	241,189.59	240,710.40	0.610	0.26	7/25/2017
FHLMC 1 7/7/2017	3134G5AR6	US Agency	100,000.00	100,504.46	100,416.00	0.581	0.11	7/7/2017
FHLMC 1.05 10/26/2018	3134G8YU7	US Agency	300,000.00	300,165.00	300,150.00	1.028	0.33	10/26/2018
FHLMC 1.05 4/26/2018	3134G9AF4A	US Agency	250,000.00	250,437.50	250,090.00	0.961	0.27	4/26/2018
FHLMC 1.05 6/18/2018	3134G8MH9	US Agency	250,000.00	250,262.00	250,027.50	1.001	0.27	6/18/2018
FHLMC 1.113 10/29/2018	3134G9BJ5	US Agency	250,000.00	250,125.00	250,012.50	1.092	0.27	10/29/2018
FHLMC Step 4/28/2021-16	3134G8VS5	US Agency	335,000.00	335,000.00	335,023.45	2.548	0.36	4/28/2021
FNMA 0.875 12/20/2017	3135G0RT2	US Agency	250,000.00	250,569.60	250,372.50	0.737	0.27	12/20/2017
FNMA 1.05 9/5/2017	3136G0ZH7	US Agency	250,000.00	251,390.19	250,912.50	0.647	0.27	9/5/2017
FNMA 1.125 4/27/2017	3135G0JAZ	US Agency	300,000.00	300,933.34	300,936.00	0.813	0.33	4/27/2017
FNMA 1.125 7/20/2018	3135G0E33	US Agency	300,000.00	300,596.93	301,503.00	1.035	0.33	7/20/2018
FNMA 5.375 6/12/2017	31398ADM1	US Agency	500,000.00	526,665.00	516,560.00	0.623	0.54	6/12/2017
T-Note 0.5 4/15/2017	912828K66	US Treasury	3,000,000.00	3,002,076.95	2,999,340.00	0.455	3.26	4/15/2017
T-Note 3 2/28/2017	912828MS6	US Treasury	500,000.00	510,685.78	505,385.00	1.366	0.54	2/28/2017
TotalAverage			14,429,462.61	14,498,667.11	14,500,923.41	1.402	15.68	
Housing Authority - HSG 2010 Bond AT								
BONY Dreyfus Government Cash MM	156631(A)	Money Market	790,778.17	790,778.17	790,778.17	0.200	0.86	N/A
TotalAverage			790,778.17	790,778.17	790,778.17	0.200	0.86	
Successor Agency - City of Carson								
Avidbank Palo Alto, Ca 0.7 3/24/2017	0536BTAf8	Certificate Of Deposit	200,000.00	200,000.00	200,192.00	0.700	0.22	3/24/2017
Berkshire Bank 0.7 3/23/2017	084601FQ1	Certificate Of Deposit	250,000.00	250,000.00	250,247.50	0.700	0.27	3/23/2017
BMW Bank of North America Salt Lake City, UT 0.8 1	05580ADP6	Certificate Of Deposit	250,000.00	250,000.00	250,287.50	0.800	0.27	1/20/2017
California Republic Bank 0.75 2/17/2017	13057CAM0	Certificate Of Deposit	250,000.00	250,000.00	250,310.00	0.750	0.27	2/17/2017
Cardinal Bank 0.6 12/28/2016	14147VFT8	Certificate Of Deposit	250,000.00	250,000.00	250,110.00	0.600	0.27	12/28/2016
East West Bank MM	MM1655	Money Market	98,538.20	98,538.20	98,538.20	0.350	0.11	N/A
EastWest Bank 1.1 6/6/2017	178218988	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.100	1.09	6/6/2017
EastWest Bank 1.1 6/6/2017	178046547	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.100	1.09	6/6/2017
FHLB 0.75 9/8/2017	313380EC7	US Agency	205,000.00	204,893.40	204,995.90	0.786	0.22	9/8/2017
FHLB 1.25 5/25/2021	3130A7MW1	US Agency	200,000.00	200,000.00	200,050.00	1.250	0.22	5/25/2021
FHLB Step 6/23/2021-16	3130A8F57	US Agency	500,000.00	500,000.00	498,885.00	2.644	0.54	6/23/2021
FHLB Step 6/23/2021-16	3130A8CZ4A	US Agency	500,000.00	499,505.95	501,015.00	2.842	0.54	6/23/2021



FHLB Step 6/30/2021-16	3130A8CS0-A	US Agency	500,000.00	500,005.95	500,075.00	1.525	0.54	6/30/2021
FHLMC 1 4/28/2021	3134G8Z51	US Agency	200,000.00	200,000.00	200,000.00	1.000	0.22	4/28/2021
First Niagara BK NA Buffalo NY 0.8	33563CUJ6	Certificate Of Deposit	250,000.00	250,000.00	250,290.00	0.800	0.27	2/10/2017
Goldman Sachs Bank USA New York, NY 0.7 10/20/2016	38148J5Z0	Certificate Of Deposit	250,000.00	250,000.00	250,035.00	0.700	0.27	10/20/2016
Hardin City BK Savannah, TN 0.75	411822BX6	Certificate Of Deposit	250,000.00	250,000.00	250,297.50	0.750	0.27	2/10/2017
HSBC USA INC NTS ISIN 1.63	40428HPH9	Corporate	500,000.00	500,000.00	500,275.00	1.630	0.54	1/16/2018
International City Bank 1.1 5/15/2017	0106480C	Certificate Of Deposit	249,000.00	249,000.00	249,000.00	1.100	0.27	5/15/2017
Israel Discount Bk of NY New York 0.85	465076JC2	Certificate Of Deposit	250,000.00	250,000.00	250,265.00	0.850	0.27	1/27/2017
LAIF LGIP	LGIP9016	Local Government Investment Pool	3,193,647.02	3,193,647.02	3,193,647.02	0.630	3.47	N/A
Occidental Petroleum Corporation NTS ISIN 1.52 1/1	US674599CD51	Corporate	100,000.00	100,000.00	100,310.00	1.520	0.11	1/15/2018
Preferred Bank 1.15 1/8/2017	306192	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.150	1.09	1/8/2017
Preferred Bank MM	MM00099	Money Market	2,087,733.91	2,087,733.91	2,087,733.91	0.500	2.27	N/A
Raymond James Cash Account Cash	CA0595	Cash	152,550.36	152,550.36	152,550.36	0.080	0.17	N/A
SAFRA National Bank of New York, NY 0.7 10/28/2016	7865QTR4	Certificate Of Deposit	250,000.00	250,000.00	250,055.00	0.700	0.27	10/28/2016
Total Bank Miami FL 0.5 10/24/2016	89153HCT5	Certificate Of Deposit	250,000.00	250,000.00	250,020.00	0.500	0.27	10/24/2016
TWIN RIVERS UNI SCH DIST CALIF. 4 8/1/2017	90171TAF7	Municipal	150,000.00	151,000.00	153,819.00	3.456	0.16	8/1/2017
United Health Group Incorporated NTS 1.4 12/15/201	US91324PCF71	Corporate	250,000.00	250,000.00	250,725.00	1.400	0.27	12/15/2017
University CA Revs 4 5/15/2018	91412GDE2	Municipal	200,000.00	200,000.00	210,256.00	4.000	0.22	5/15/2018
Wells Fargo & Company NTS 1.5 1/16/2018	US94974BFG05	Corporate	300,000.00	300,000.00	300,381.00	1.500	0.33	1/16/2018
Whitney Bank Gulfport MS 0.85 1/27/2017	966594AP8	Certificate Of Deposit	250,000.00	250,000.00	250,312.50	0.850	0.27	1/27/2017
Total Average			15,336,469.49	15,336,874.79	15,354,678.39	1.048	16.66	
Successor Agency - MA 2003 CD Bond								
BNY Cash Reserves Cash	MM11199A	Cash	2,297,397.26	2,297,397.26	2,297,397.26	0.200	2.50	N/A
BNY Cash Reserves Cash	MM11199B	Cash	7,417.69	7,417.69	7,417.69	0.200	0.01	N/A
East West Bank MM	MM11663	Money Market	1,233,531.06	1,233,531.06	1,233,531.06	0.350	1.34	N/A



Preferred Bank 0.8 11/16/2016	306047	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	0.800	1.09	11/16/2016
Preferred Bank 0.8 11/16/2016	306004	Certificate Of Deposit	1,500,000.00	1,500,000.00	1,500,000.00	0.800	1.63	11/16/2016
Preferred Bank 1.1 5/12/2017	306247	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.100	1.09	5/12/2017
Preferred Bank MM	3632458	Money Market	2,002,521.61	2,002,521.61	2,002,521.61	0.500	2.18	N/A
TotalAverage			9,040,867.62	9,040,867.62	9,040,867.62	0.552	9.82	
Successor Agency - Project 1 2003 Bond								
BNY Cash Reserves Cash	MM1170B	Cash	329,106.09	329,106.09	329,106.09	0.200	0.36	N/A
East West Bank MM	MM1671	Money Market	289,367.23	289,367.23	289,367.23	0.350	0.31	N/A
EastWest Bank 1 1/4/2017	178243742	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.000	1.09	1/4/2017
EastWest Bank 1.1 5/19/2017	0178462159	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.100	1.09	5/19/2017
FHLB 0.625 3/29/2017	3130A7N84	US Agency	2,000,000.00	1,999,500.00	2,001,800.00	0.650	2.17	3/29/2017
FHLB 0.625 4/5/2017	3130A7Q24	US Agency	2,000,000.00	2,000,000.00	2,000,800.00	0.625	2.17	4/5/2017
Preferred Bank 1.1 5/18/2017	306251	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.100	1.09	5/18/2017
Preferred Bank 1.1 5/2/2017	306239	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.100	1.09	5/2/2017
Preferred Bank 1.2 11/18/2017	306248	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.200	1.09	11/18/2017
Preferred Bank 1.3 5/18/2018	306249	Certificate Of Deposit	1,000,000.00	1,000,000.00	1,000,000.00	1.300	1.09	5/18/2018
T-Note 0.5 3/1/2017	912828J35	US Treasury	3,000,000.00	2,998,522.83	3,001,710.00	0.535	3.26	3/1/2017
Grand TotalAverage			13,618,473.32	13,616,496.15	13,622,783.32	0.817	14.80	
			92,039,742.64	92,121,006.80	92,143,546.35	0.994	100	

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