

C-17-039

AMENDMENT NO. 1

TO AGREEMENT FOR ADMINISTRATIVE SERVICES

THIS AMENDMENT TO THE AGREEMENT FOR ADMINISTRATIVE SERVICES ("Amendment") by and between the CITY OF CARSON, a California municipal corporation ("City") and Principal Life Insurance Company, an Iowa Corporation ("Principal"), whose principal place of business is 711 High Street, Des Moines, Iowa 50392, is effective as of the 1<sup>st</sup> day of November, 2018.

RECITALS

A. City and Principal entered into that certain Agreement for Administrative Services dated November 1, 2017 ("Agreement"), whereby Principal agreed to provide certain non-discretionary claims and administrative functions and services on behalf of City as Plan Administrator for City's employee welfare benefit plan, subject to the federal Employee Retirement Income Security Act of 1974.

B. The Agreement provided for an initial term of November 1, 2017 to November 1, 2018, and for renewal thereafter at City's sole option for up to three successive one-year terms.

C. City and Principal now desire to amend the Agreement to extend the term of the Agreement until November 1, 2020, and to ratify and affirm through this Amendment the continuous and uninterrupted term of the Agreement commencing on November 1, 2017 and continuing until November 1, 2020.

TERMS

1. **Contract Changes.** The Agreement is amended as provided herein (additions shown in *bold italics*, deletions shown in ~~strikethrough~~).

a. Subsection (a) of Section 17 (Term and Termination) of the Agreement is amended to read in its entirety as follows:

"The initial term of this Agreement will begin on the Effective Date and continue until November 1, *2020* ~~2018~~. Thereafter, unless earlier terminated by either Party, this Agreement may be renewed at Employer's sole option for up to three successive one-year terms."

2. **Continuing Effect of Agreement.** Except as amended by this Amendment, all provisions of the Agreement shall remain unchanged and in full force and effect. From and after the date of this Amendment, whenever the term "Agreement" appears in the Agreement, it shall mean the Agreement, as amended by this Amendment to the Agreement.

3. **Affirmation of Agreement; Warranty Re Absence of Defaults.** City and Principal each ratify and reaffirm each and every one of the respective rights and obligations arising under the Agreement. Each party represents and warrants to the other that there have been

no written or oral modifications to the Agreement other than as provided herein. Each party represents and warrants to the other that the Agreement is currently an effective, valid, and binding obligation.

Principal represents and warrants to City that, as of the date of this Amendment, City is not in default of any material term of the Agreement and that there have been no events that, with the passing of time or the giving of notice, or both, would constitute a material default under the Agreement.

City represents and warrants to Principal that, as of the date of this Amendment, Principal is not in default of any material term of the Agreement and that there have been no events that, with the passing of time or the giving of notice, or both, would constitute a material default under the Agreement.

4. **Adequate Consideration.** The parties hereto irrevocably stipulate and agree that they have each received adequate and independent consideration for the performance of the obligations they have undertaken pursuant to this Amendment.

5. **Authority.** The persons executing this Amendment on behalf of the parties hereto warrant that (i) such party is duly organized and existing, (ii) they are duly authorized to execute and deliver this Amendment on behalf of said party, (iii) by so executing this Amendment, such party is formally bound to the provisions of this Amendment, and (iv) the entering into this Agreement does not violate any provision of any other agreement to which said party is bound.

[SIGNATURES ON FOLLOWING PAGE]

IN WITNESS WHEREOF, the parties hereto have executed this Amendment on the dates set forth below, with express intent that this Amendment shall be effective as of November 1, 2018.



CITY:  
CITY OF CARSON, a municipal corporation

[Signature]  
Albert Robles, Mayor

Date: September 17, 2019

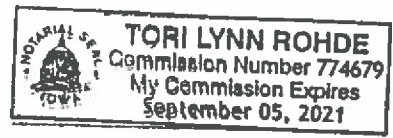
ATTEST:

[Signature]  
Donesia Gause-Aldana, City Clerk

APPROVED AS TO FORM:  
ALESHIRE & WYNDER, LLP

[Signature]  
Sunny K. Solani, City Attorney  
[BRJ]

[Signature]  
Tori Lynn Rohde



PRINCIPAL:  
PRINCIPAL LIFE INSURANCE COMPANY, an Iowa Corporation

By: Kara Kohler Hoogensen  
Name: Kara Kohler Hoogensen  
Title: Vice President - Group Benefits

Date: September 12, 2019

By: Randall Odzer  
Name: Randall Odzer  
Title: VP-CFO U.S. Insurance Solutions

Date: September 12, 2019

Two corporate officer signatures required for Principal as a corporation, with one signature required from each of the following groups: 1) Chairman of the Board, President or any Vice President; and 2) Secretary, any Assistant Secretary, Chief Financial Officer or any Assistant Treasurer. PRINCIPAL'S SIGNATURES SHALL BE DULY NOTARIZED, AND APPROPRIATE ATTESTATIONS SHALL BE INCLUDED AS MAY BE REQUIRED BY THE BYLAWS,

**ARTICLES OF INCORPORATION, OR OTHER RULES OR REGULATIONS APPLICABLE TO PRINCIPAL AS A CORPORATION.**

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy or validity of that document.

STATE OF CALIFORNIA

COUNTY OF LOS ANGELES

On Sept 12, 2019 before me, Tori Lynn Rohde personally appeared Randall Adzer, proved to me on the basis of satisfactory evidence to be the person(s) whose names(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature: Tori Lynn Rohde



**OPTIONAL**

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

- CAPACITY CLAIMED BY SIGNER**
- INDIVIDUAL
  - CORPORATE OFFICER

**DESCRIPTION OF ATTACHED DOCUMENT**

- TITLE(S)
- PARTNER(S)  LIMITED  GENERAL
  - ATTORNEY-IN-FACT
  - TRUSTEE(S)
  - GUARDIAN/CONSERVATOR
  - OTHER \_\_\_\_\_

\_\_\_\_\_  
TITLE OR TYPE OF DOCUMENT

\_\_\_\_\_  
NUMBER OF PAGES

**SIGNER IS REPRESENTING:**  
(NAME OF PERSON(S) OR ENTITY(IES))

\_\_\_\_\_  
DATE OF DOCUMENT

\_\_\_\_\_  
SIGNER(S) OTHER THAN NAMED ABOVE



